

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LUO et al.

Serial No.: 10/029,115

Filed: 19 October 2001

For: Novel Germinal Center Kinase Cell
Cycle Proteins, Compositions and
Methods of Use



Examiner: Unknown

Group Art Unit: Unknown

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed
enclosures, is being deposited with the United States Postal
Service as First Class Mail in an envelope addressed to:
Assistant Commissioner for Patents, BOX SEQUENCE,
P.O. Box 2327, Arlington, VA 22202
on June 17, 2002

Signed: Gail Diehl
Gail Diehl

TRANSMITTAL OF PRELIMINARY AMENDMENT AND SEQUENCE LISTING
IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
BOX SEQUENCE
P.O. Box 2327
Arlington, VA 22202

Sir:

In response to the Notice to File Missing Parts of Application, *Filing Date*
Granted, mailed March 15, 2002, enclosed are the following documents:

- 1) A copy of the Notice to File Missing Parts of Application, *Filing Date Granted*;
- 2) Preliminary Amendment re Sequence Listing;
- 3) Paper copy of Sequence Listing;
- 4) Diskette copy Sequence Listing;
- 5) Copy of Response to Notice to File Missing Parts - *Filing Date Granted*;
- 6) Copy of Petition for Extension of Time (1 Month); and

Serial No.: 10/029,115
Filed: 19 October 2001
Page 2

7) Return postcard.

The Assistant Commissioner is hereby authorized to charge any additional fees, including extensions, or credit any overpayment to Deposit Account 06-1300 (File A-70229/RMS/DHR).

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: 6/17/02

By

Robin M. Silva
Robin M. Silva, Reg. No. 38,304
submitted under 37 C.F.R. §1.34(a)

Suite 3400, Four Embarcadero Center
San Francisco, California 94111-4187
Telephone: (415) 781-1989

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COPY OF PAPERS
ORIGINALLY FILED

PATENT
Attorney Docket No.: A-70229/RMS/DHR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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on: June 17, 2002

Signed:

Gail Diehl

RESPONSE TO NOTICE TO FILE MISSING PARTS
Filing Date Granted

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, *Filing Date Granted*,
mailed March 15, 2002, enclosed are the following documents:

- 1) A copy of the Notice to File Missing Parts of Application, *Filing Date Granted*;
- 2) Executed Declaration for Patent Application;
- 3) Executed Power of Attorney by Assignee;
- 4) Petition for Extension of Time (1 Month);
- 5) Check in the amount of \$1,008.00 as payment for the following:

Filing Fee	\$ 370.00
Surcharge for filing the fee on a date later than the filing date	\$ 65.00
Excess Claims Fee	\$ 378.00
Multiple Dependent Claim Surcharge	\$ 140.00
One (1) Month Extension of Time	\$ 55.00
- 6) Copy of Transmittal of Preliminary Amendment re Sequence Listing;

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Filed: October 19, 2001
Page 2

7) Return postcard.

Applicant claims Small Entity status under 37 CFR 1.27.

The Assistant Commissioner is hereby authorized to charge any additional fees, including extensions, or credit any overpayment to Deposit Account 06-1300 (File A-70229/RMS/DHR).

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: 6/17/02

By Robin M. Silva
Robin M. Silva, Reg. No. 38,304
submitted under 37 C.F.R. §1.34(a)

Four Embarcadero Center, Suite 3400
San Francisco, California 94111-4187
Telephone: (415) 781-1989

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,115	10/19/2001	Ying Luo	A-70229/RMS-DHR

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP
Suite 3400
Four Embarcadero Center
San Francisco, CA 94111-4187

CONFIRMATION NO. 2856

FORMALITIES LETTER



OC000000007644958

Date Mailed: 03/15/2002

5/15/02

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1036.
 - \$756 for 9 independent claims over 3 .
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1906.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant

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01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:202	378.00 OP
04 FC:204	140.00 OP

desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE